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Facsimile

December 27, 2006
CM# 192039-375930
TKID: 8801

McCarthy Tétrault LLP
Box 48, Suite 4700
Toronto Dominion Bank Tower
Toronto ON M5K 1E6
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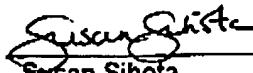
Name:	Telephone:	Fax:
To: Commissioner for Patent United States Patent and Trademark Office Customer Window, Mail Stop Missing Parts 401 Dulany Street Alexandria, VA 22314 USA	1.703.308.9140 x201	1.571.273.8300
From: Anita Nador	416.601.7530	416.868.0673
In re Application of: CHAN, Anthony Kam Chuen et al. Serial No.: 10/567,737 Filed: August 12, 2004 Title: Methods for Preventing Neurological Events Art Unit: Confirmation Number: 5356		

Please see the attached communication, including:

- (1) Transmittal Form PTO/SB/21
- (2) Fee Transmittal Form PTO/SB/17
- (3) Petition for Extension of Time PTO/SB/22
- (4) Copy of Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office (DE/EO/US) dated June 27, 2006;
- (5) 3 Declarations with Supplemental Application Data Sheet

Certificate of Transmission:

Pursuant to 37 CFR 1.8, I certify that the following correspondence is being transmitted via facsimile transmission to the United States Patent and Trademark Office at facsimile number 1.571.273.8300 on December 27, 2006.

Signature: 
Name: Susan Sihota
Legal Assistant to Anita Nador

Date:

December 27, 2006

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PTO/SB/21 (09-08)

Approved for use through 03/31/2007. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 16

Application Number	10/567,737
Filing Date	August 12, 2004
First Named Inventor	CHAN, Anthony Kam Chuan
Art Unit	
Examiner Name	
Attorney Docket Number	192039-375930 (T01108-0080-US)

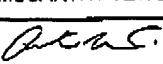
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ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> 1. Notification of Missing Requirements
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> 2. Declarations (3)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> 3. Supplemental Application Data Sheet
<input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	MCCARTHY TETRAULT LLP - CUSTOMER NO. 027155		
Signature			
Printed name	Anita Nador		
Date	December 27, 2006	Reg. No.	47366

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature



Typed or printed name

Susan Sihota - Legal Assistant

Date December 27, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete. Including the gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. Serial No. : 10/567,737 Confirmation No.: 5356
Applicant(s) : CHAN, Anthony Kam Chuen *et al.*
I.A. Filing Date : August 12, 2004
Title : Methods for Preventing Neurological Events
TC./A.U. :
Examiner :
Docket No. : 192039-375930 (T01108-0060-US)
Customer No. : 027155

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COMMUNICATION REGARDING MISSING REQUIREMENTS

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Dear Sir:

This is filed in response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed June 27, 2006.

In response, Applicant(s) submit(s) herewith the following documents:

- Transmittal Form PTO/SB/21 (1 page);
- Fee Transmittal Form PTO/SB/17 (1 page);
- Petition for Extension of Time Under 37 CFR 1.136(a) (1 page);
- Copy of Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office (DE/EO/US) (2 pages);
- Declarations (3) with Supplemental Application Data Sheet (9 pages);

Applicant provides signed copies of the Declaration, in compliance with the Notice.

The Commissioner is hereby authorized to charge Deposit Account No. 15-0633 in the name of McCarthy Tétrault LLP in the amount of **\$65.00** to cover the Missing Requirements completion fee; **\$955.00** to cover the additional claims fees; and **\$795.00** to cover the fee for a four-month extension of time. The Commissioner is also authorized to charge any deficiency in fees which may be required, or credit any overpayment, to this account.

Should the Office require more information, please contact the undersigned.

Respectfully submitted,
MCCARTHY TÉTRAULT LLP

Dated: December 27, 2006

By: Anita Nador

Anita Nador
Registration No. 47366

McCarthy Tétrault LLP
Box 48, Suite 4700
Toronto Dominion Bank Tower
Toronto, Ontario
Canada M5K 1E6
Tel: 416-601-7852
Fax: 416-868-0673

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McCarthy Tetrault LLP

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Aug 27/2006

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/567,737	Anthony Kam Chuen Chan	T011080060US
INTERNATIONAL APPLICATION NO.		
PCT/CA04/01497		
LA. FILING DATE	PRIORITY DATE	
08/12/2004	08/12/2003	
CONFIRMATION NO. 5356		
371 FORMALITIES LETTER		
OC000000019409916		

Date Mailed: 06/27/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/10/2006
- Small Entity Statement filed on 02/10/2006
- Request for Immediate Examination filed on 02/10/2006
- U.S. Basic National Fees filed on 02/10/2006
- Priority Documents filed on 02/10/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$955 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$955 for a Small Entity:

- Total additional claim fee(s) for this application is \$ 955

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- \$400 for 4 independent claims over 3.
- \$375 for 15 total claims over 20.
- \$180 for multiple dependent claim surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/567,737	PCT/CA04/01497	T011080060US

FORM PCT/DO/EO/905 (371 Formalities Notice)

PTO/SB/17 (07-08)

Approved for use through 01/31/2007. GMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. <i>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</i>		Complete If Known	
FEE TRANSMITTAL For FY 2006		Application Number	10/567,737
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	August 12, 2004
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	CHAN, Anthony Kam Chuen
TOTAL AMOUNT OF PAYMENT (\$)		Examiner Name	
TOTAL AMOUNT OF PAYMENT (\$)		Art Unit	
TOTAL AMOUNT OF PAYMENT (\$)		Attorney Docket No.	192039-375930 (T01108-0060-US)

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METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>15-0633</u> Deposit Account Name: <u>McCarthy Tetrault LLP</u>	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	
				Fee (\$)	Fee (\$)
35	- 20 or HP =	15	25	375.00	25
				200	100
				360	180

HP = highest number of total claims paid for, if greater than 20.

Total Claims Extra Claims Fee (\$) Fee Paid (\$)

35 - 20 or HP = 15 x 25 = 375.00

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)

7 - 3 or HP = 4 x 100 = 400.00

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

100 - 100 = 0 / 50 = 0 (round up to a whole number) x 0 = 0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 4-month extension of time fees and completion fee

Fees Paid (\$)

860.00

SUBMITTED BY	
Signature	Anita Nador
Registration No. 47368 (Attorney/Agent)	
Telephone 416.601.7530	
Date December 27, 2006	

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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